


To: Councillor Stevens (Chairman)
Councillors Davies, Edwards, Emberson,
Gittings, McKenna, Robinson and J Williams

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20 January 2021

Your contact is: **Michael Popham - Democratic Services Manager**

NOTICE OF MEETING - AUDIT AND GOVERNANCE COMMITTEE 28 JANUARY 2021

A meeting of the Audit and Governance Committee will be held on Thursday, 28 January 2021 at 6.30 pm as an Online Meeting via Microsoft Teams. The Agenda for the meeting is set out below.

	<u>WARDS AFFECTED</u>	<u>Page No</u>
1. DECLARATIONS OF INTEREST		
2. MINUTES OF THE PREVIOUS MEETING HELD ON 12 OCTOBER 2020		5 - 10
3. QUESTIONS		
4. INTERNAL AUDIT QUARTERLY PROGRESS REPORT		11 - 74
This report provides an update on key findings emanating from Internal Audit reports issued since the last quarterly progress report.		
5. ANNUAL TREASURY MANAGEMENT REVIEW 2019/20	BOROUGH WIDE	75 - 86
This report reviews the Treasury Management activity which took place from 1st April 2019 to 31st March 2020.		
6. TREASURY MANAGEMENT STRATEGY MID-YEAR REVIEW 2020/21	BOROUGH WIDE	87 - 100
This report provides an update on the activity of the Treasury Management function during the first half of the year for the period 1st April 2020 to 30th September 2020.		

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7.	INFORMATION GOVERNANCE QUARTERLY UPDATE	BOROUGH WIDE	101 - 106
	This report outlines the actions in progress to improve the Council's policies, systems and processes for better Information Governance.		
8.	DRAFT AUDIT PLANNING REPORT 2018/19	BOROUGH WIDE	107 - 154
	The report provides members with a basis to review EY's proposed audit approach and scope for 2018/19. The Provisional Audit Plan summarises the initial assessment of an effective audit for the Council and outlines EY's planned audit strategy in response to those risks.		
9.	INDEPENDENT REVIEW INTO THE OVERSIGHT OF LOCAL AUDIT AND THE TRANSPARENCY OF LOCAL AUTHORITY FINANCIAL REPORTING ("REDMOND REVIEW")	BOROUGH WIDE	155 - 166
	This report seeks to provide the Audit and Governance Committee with a summary of the Redmond Review report, the recommendations made to the Government, along with the Government's response.		
10.	CLOSING FINANCIAL ACCOUNTS UPDATE	BOROUGH WIDE	167 - 170
	This report updates the Committee on progress with the completion of the Council's Final Accounts for 2018/19 and 2019/20.		
11.	IMPLEMENTATION OF THE FINANCE IMPROVEMENT PROGRAMME - PROGRESS UPDATE	BOROUGH WIDE	171 - 176
	This report provides an update on progress of the Programme workstreams and their deliverables against the Plan since the last meeting.		
12.	IMPLEMENTATION OF AUDIT RECOMMENDATIONS TRACKER	BOROUGH WIDE	177 - 206
	This report sets out a summary of those high and medium risk Internal Audit recommendations which remain outstanding together with an updated management response.		
13.	HOUSING BENEFIT & COUNCIL TAX SUPPORT SCHEME - RISK BASED VERIFICATION POLICY 2021/22	BOROUGH WIDE	207 - 210
	This report proposes the continued use of the Council's Risk Based Verification process in 2021/22.		
14.	EXCLUSION OF PRESS & PUBLIC		

The following motion will be moved by the Chairman (if necessary):

“That, pursuant to Section 100A of the Local Government Act 1972 (as amended) members of the press and public be excluded during consideration of the following items on the agenda, as it is likely that there would be disclosure of exempt information as defined in the relevant Paragraphs of Part 1 of Schedule 12A (as amended) of that Act”

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| 15. | HOUSING BENEFIT & COUNCIL TAX SUPPORT SCHEME - RISK
BASED VERIFICATION POLICY 2021/22 - APPENDICES | BOROUGH
WIDE | 211 -
218 |
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