


To: Councillor Williams (Chair)
Councillors G Dennis, Edwards, Emberson,
Gittings, Mitchell, Terry and Thompson

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31 March 2023

Your contact is: **Michael Popham - Democratic Services Manager**

NOTICE OF MEETING - AUDIT AND GOVERNANCE COMMITTEE 12 APRIL 2023

A meeting of the Audit and Governance Committee will be held on Wednesday, 12 April 2023 at 6.30 pm in the Council Chamber, Civic Offices, Reading. The Agenda for the meeting is set out below.

	<u>WARDS AFFECTED</u>	<u>Page No</u>
1. DECLARATIONS OF INTEREST		
2. MINUTES OF THE PREVIOUS MEETING HELD ON 24 JANUARY 2023		5 - 12
3. QUESTIONS		
4. INTERNAL AUDIT QUARTERLY PROGRESS REPORT This report provides an update on key findings emanating from Internal Audit reports issued since the last quarterly progress report.	BOROUGH WIDE	13 - 44
5. INTERNAL AUDIT PLAN 2023/2024 & INTERNAL AUDIT CHARTER This report sets out the work Internal Audit plans to undertake during the financial year 2023/2024.	BOROUGH WIDE	45 - 68
6. STRATEGIC RISK REGISTER Q4/Q1 2022/23 - 2023/24 This report outlines the 2022/2023 Q4 update of the Strategic Risk Register. The Risk Register covers the actions completed by the Council during Q4 and the risk ratings for the Council for Q1 of 2023/2024.	BOROUGH WIDE	69 - 84

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7.	2022/23 QUARTER 3 PERFORMANCE AND MONITORING REPORT	BOROUGH WIDE	85 - 142
	This report sets out the projected revenue and capital outturn positions for 2022/23 for both the General Fund and Housing Revenue Accounts as at the end of Quarter 3. The report also sets out performance against the measures of success published in the Council's Corporate Plan.		
8.	CIPFA FINANCIAL MANAGEMENT CODE	BOROUGH WIDE	143 - 324
	A report on the Chartered Institute of Public Finance & Accountancy Financial Management Code, which sets out the standards of financial management for local authorities to assess themselves against.		
9.	IMPLEMENTATION OF THE NEW FINANCE SYSTEM - PROGRESS UPDATE	BOROUGH WIDE	325 - 326
	To receive a report providing an update on the implementation of the new Finance System.		
10.	INFORMATION GOVERNANCE QUARTERLY UPDATE	BOROUGH WIDE	327 - 332
	This report provides an update on the actions in progress to improve the Council's policies, systems and processes around information governance.		
11.	IMPLEMENTATION OF AUDIT RECOMMENDATIONS TRACKER	BOROUGH WIDE	333 - 346
	This report sets out a summary of those high and medium risk Internal Audit recommendations which remain outstanding together with an updated management response.		
12.	CLOSING OF FINANCIAL ACCOUNTS UPDATE	BOROUGH WIDE	
	To receive a verbal update on the progress to complete the audit of the Council's Final Accounts.		
13.	EXTERNAL AUDITOR UPDATE		347 - 408
	The Council's External Auditor EY will provide a verbal update on the 2019/20 audit and will present a draft Audit Results Report for 2020/21. The draft Audit Results Report is included as an Appendix to this report.		

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